Docket No.

277944US28X



# AIL STOP PCT PTO 16 NOV 2005

#### TENT AND TRADEMARK OFFICE IN THE UNITED ST

IN RE APPLICATION OF:

Takashi KIKUKAWA, et al.

SERIAL NO: 10/519,169

GAU:

FILED:

December 20, 2004

**EXAMINER:** 

FÜR:

METHOD FOR OPTICALLY RECORDING AND REPRODUCING DATA AND OPTICAL RECORDING

MEDIUM

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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Form PTO 1449		U.S. DEPARTMENT		ATTY DOCKET NO. 277944US28X PCT		SERIAL NO. 10/519,169	
(Modified)		PATENT AND TRAD	EMARK OFFICE				
016				APPLICANT			
LIST OF REFERENCES CITED BY APPLICATE				Takashi KIKUKAWA, et al.			
P NOV 1 6 2005 3			FILING DATE		GROUP		
			December 20, 2004				
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMEN NUMBER	BK OFATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
•	AA						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT	DATE	COUNTRY		TRANSLATION	
		NUMBER	DATE	JOSHAN T	<u></u>	YE	S NO_
	AM	2002-109786	04/12/02	JP (with English abstract)			NO
	AN	06-262854	09/20/94	JP (with English abstract)			NO
	AO	2000-353341	12/19/00	JP (with English abstract)			NO
	AP	2002-269827	09/20/02	JP (with English abstract)			NO
	AQ	2002-298439	10/11/02	JP (with English abstract)			NO
	AR	07-210874	08/11/95	JP (with English abstract)			NO
	AS	05-028535	02/05/93	JP (with English abstract)			NO
	AT	05-242524	09/21/93	JP (with English abstract)	<u>.</u>		NO
	AU	06-290486	10/18/94	JP (with English abstract)			NO
	ΑV	05-028498	02/05/93	JP (with English abstract)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
FUJI et al. "A Near-Field Recording and Readout Technology Using a Metallic Probe in an Optical Disk", Jpn. J. Appl. Phys. AW vol. 39, part 1, no. 2B, pages 980-981							
	2000						
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-	AZ	Additional References sheet(s) attached					
Examiner		I			Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in							
'Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							